Strictly Capturing Non-strict Closures

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Pairs of environment and code are **closures**.

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let $y = 2 + 1$ in $\lambda z. y)$ in $(x 3) + (x 4)$



Pairs of environment and code are **closures**. Here, they are a feature of the runtime system.

What if our compiler target language does not automatically create closures?

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Solution: make closures explicit in the syntax

Closure-conversion

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But what about Haskell?

► Specify non-strict closure-conversions:

- call-by-name
- call-by-need

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► We propose *partial closure-conversion*, which allows closures to be introduced locally instead of as a total transformation.

Closures in Strict Languages

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Capturing a closure:

$$\overline{\langle \Sigma \parallel \lambda x. M \rangle \Downarrow (\Sigma, \lambda x. M)} \ Lam$$

 $\langle \{3/y\} \parallel \lambda z. y \rangle \Downarrow (\{3/y\}, \lambda z. y)$

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Entering a closure:

 $\frac{\langle \Sigma \parallel M \rangle \Downarrow (\Sigma', \lambda x. L) \quad \langle \Sigma \parallel N \rangle \Downarrow W \quad \langle \Sigma', W/x \parallel L \rangle \Downarrow V}{\langle \Sigma \parallel M N \rangle \Downarrow V} \quad App$

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$$\frac{\vdots}{\langle (\{3/y\}, \lambda z. y)/x \parallel x \rangle \Downarrow (\{3/y\}, \lambda z. y)} \quad \frac{\vdots}{\langle \{3/y, 3/z\} \parallel y \rangle \Downarrow 3} \\ \langle (\{3/y\}, \lambda z. y)/x \parallel x 3 \rangle \Downarrow 3$$

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let x = (let y = 2 + 1 in pack (y,
$$\lambda(y, z), y$$
))
in (unpack x as (e, f) in f (e, 3)) +
(unpack x as (e, f) in f (e, 4))

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How can we run this program?

$$\begin{array}{l} \texttt{let } x = (\texttt{let } y = 2 + 1 \texttt{ in } \texttt{pack } (y, \lambda(y, z), y)) \\ \texttt{in } (\texttt{unpack } x \texttt{ as } (e, f) \texttt{ in } f (e, 3)) \\ \texttt{(unpack } x \texttt{ as } (e, f) \texttt{ in } f (e, 4)) \end{array} + \\ \end{array}$$

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Functions do not need to capture free variables:

$$\begin{aligned} & \texttt{let } x = (\texttt{let } y = 2 + 1 \texttt{ in } \texttt{pack } (y, \lambda(y, z), y)) \\ & \texttt{in } (\texttt{unpack } x \texttt{ as } (e, f) \texttt{ in } f (e, 3)) \\ & \texttt{(unpack } x \texttt{ as } (e, f) \texttt{ in } f (e, 4)) \end{aligned}$$

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$$\frac{\langle \Sigma \parallel M \rangle \Downarrow \lambda x. L}{\langle \Sigma \parallel N \rangle \Downarrow W} \langle W/x \parallel L \rangle \Downarrow V}{\langle \Sigma \parallel M N \rangle \Downarrow V} App'$$
Semantics of Target Language

$$\begin{aligned} & \texttt{let } x = (\texttt{let } y = 2 + 1 \texttt{ in } \texttt{pack } (y, \lambda(y, z), y)) \\ & \texttt{in } (\texttt{unpack } x \texttt{ as } (e, f) \texttt{ in } f (e, 3)) \\ & \texttt{(unpack } x \texttt{ as } (e, f) \texttt{ in } f (e, 4)) \end{aligned}$$

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Useful Closure-conversion

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After closure-conversion, the program does not need a runtime that automatically creates closures.

Closures in Non-strict Languages

let
$$x = 1$$
 in (let $y = x + 2$ in (let $x = 3$ in y))

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let
$$x = 1$$
 in (let $y = x + 2$ in (let $x = 3$ in y))



Non-strict languages create thunk closures *in addition to* function closures.

Closures in Call-by-name Languages

let
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Capturing a thunk closure:

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$$x = 1$$
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Capturing a thunk closure:

$$\frac{\langle \Sigma, \ (\Sigma, M) / x \ \parallel N \rangle \Downarrow R}{\langle \Sigma \parallel \texttt{let} \ x = M \ \texttt{in} \ N \rangle \Downarrow R} \ Let$$

let
$$x = 1$$
 in (let $y = x + 2$ in (let $x = 3$ in y))

Capturing a thunk closure:

$$\frac{\langle \Sigma, \ (\Sigma, M)/x \ \| \ N \rangle \Downarrow R}{\langle \Sigma \| \ \texttt{let} \ x = M \ \texttt{in} \ N \rangle \Downarrow R} \ Let$$

$$\frac{(\dots/x, (\{\dots/x\}, x+2))/y \parallel \text{let } x = 3 \text{ in } y) \Downarrow 3}{(\dots/x \parallel \text{let } y = x+2) \text{ in } (\text{let } x = 3 \text{ in } y)) \Downarrow 3}$$

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$$x = 1$$
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Entering a thunk closure:

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Entering a thunk closure:

$$\frac{\Sigma(x) = (\Sigma', M) \quad \langle \Sigma' \parallel M \rangle \Downarrow R}{\langle \Sigma \parallel x \rangle \Downarrow R} \quad Var$$

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$$x = 1$$
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Entering a thunk closure:

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$$\frac{\vdots}{\langle (\{\}, 1)/x \parallel x + 2 \rangle \Downarrow 3}$$

$$\langle (\{\}, 1)/x, (\{(\{\}, 1)/x\}, x + 2) / y, (\{\dots/x, \dots/y\}, 3)/x \parallel y \rangle \Downarrow 3$$

$$\texttt{let } x = 1 \texttt{ in } (\texttt{let } y = \boxed{x+2} \texttt{ in } (\texttt{let } x = 3 \texttt{ in } \boxed{y}))$$

let
$$x = 1$$
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closures converts to:

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let
$$x = \text{pack}((), \lambda(), 1)$$
 in
let $y = \text{pack}(x, \lambda x. (\text{unpack } x \text{ as } (e, f) \text{ in } f e) + 2)$ in
let $x = \text{pack}((x, y), \lambda(x, y), 3)$ in
unpack y as (e, f) in $f e$

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$$\begin{array}{l} \texttt{let } x = \texttt{pack } ((), \lambda(), 1) \texttt{ in } \\ \texttt{let } y = \texttt{pack } (x, \lambda x. (\texttt{unpack } x \texttt{ as } (e, f) \texttt{ in } f \texttt{ e}) + 2) \texttt{ in } \\ \texttt{let } x = \texttt{pack } ((x, y), \lambda(x, y), 3) \texttt{ in } \\ \texttt{unpack } y \texttt{ as } (e, f) \texttt{ in } f \texttt{ e} \end{array}$$

How can we run this program?

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How can we run this program? The natural choice is a call-by-name language with data.

Non-strict data types are not evaluated until forced by their context.

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Non-strict data types do not remove the need for closures in our runtime.

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Non-strict data types do not remove the need for closures in our runtime. Neither do non-strict functions, nor let-expressions

What if we simply remove the closure constructing aspect of non-strict data?

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$$\overline{\langle \Sigma \parallel ext{pack } M
angle \Downarrow ext{ pack } M} Pack$$

Target Language for Call-by-name Closure-conversion What if we simply remove the closure constructing aspect of non-strict data?

$$\overline{\langle \Sigma \parallel \text{pack } M \rangle \Downarrow \text{pack } M} Pack$$

$$\overline{\langle \Sigma \parallel M \rangle \Downarrow \text{pack } L} \quad \langle \Sigma, L/x \parallel N \rangle \Downarrow R$$

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Target Language for Call-by-name Closure-conversion What if we simply remove the closure constructing aspect of non-strict data?

$$\overline{\langle \Sigma \parallel \text{pack } M \rangle \Downarrow \text{pack } M} \xrightarrow{Pack}$$

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 $\langle \text{pack}((), \lambda(), 1)/x, \text{ pack}(x, \lambda x, \dots) \rangle / y \parallel \text{let } x = \text{pack}((x, y), \lambda(x, y), 3) \text{ in } (\dots) \rangle \Downarrow 3$

 $(\operatorname{pack}((), \lambda x. 1)/x \parallel \operatorname{let} y = \operatorname{pack}(x, \lambda x. ...)$ in $(\operatorname{let} x = \operatorname{pack}((x, y), \lambda(x, y). 3)$ in $(\dots)) \downarrow 3$

Target Language for Call-by-name Closure-conversion What if we simply remove the closure constructing aspect of non-strict data?

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Using non-strict data without a closure constructing target language is wrong.

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Fortunately, the closure-conversion transformation also performed a thunking transformation.

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let x = pack ((),
$$\lambda$$
(). 1) in
let y = pack (x, λx . (unpack x as (e, f) in f e) + 2) in
let x = pack ((x, y), $\lambda(x, y)$. 3) in
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Call-by-name closure-conversion preserves semantics in a *call-by-value* target language.

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Runtime	Closure ignorant	Correct	Useful
call-by-name		\checkmark	
call-by-name'	\checkmark		
call-by-value		\checkmark	
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The target for call-by-name closure-conversion should be strict.

Strict closure-conversion preserves types by hiding environments with existential types (pack expressions).

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e.g. \lambda x. x + x and \lambda x. x + y
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Type preservation for call-by-name requires two type translations:

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Type preservation for call-by-name requires two type translations: For results

$$\mathsf{Res}\llbracket\tau \to \tau'\rrbracket = \exists X. X \times (X \times \mathsf{Val}\llbracket\tau\rrbracket \to \mathsf{Res}\llbracket\tau'\rrbracket)$$

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For values, turned into thunk closures

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$$\mathsf{Val}\llbracket \tau \rrbracket = \exists X. X \times (X \to \mathsf{Res}\llbracket \tau \rrbracket)$$

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For values, turned into thunk closures

$$\mathsf{Val}\llbracket \tau \rrbracket = \exists X. X \times (X \to \mathsf{Res}\llbracket \tau \rrbracket)$$

Closures in Call-by-need Languages

let
$$x = (2+1)$$
 in $x + x$

let
$$x = (2+1)$$
 in $x + x$

for which call-by-name closure-conversion yields:

$$let x = pack ((), \lambda(), 2 + 1) in$$

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$$M \stackrel{\text{def}}{=} \text{new}(\text{inr } M)$$

memo $x \stackrel{\text{def}}{=} \text{case } !x \text{ of}$
inl $v \rightarrow v$
inr $p \rightarrow$
unpack $p \text{ as } (e, f) \text{ in}$
let $v = f e \text{ in}$
let _ = $(x := \text{inl } v) \text{ in } v$

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Is this sufficient for a call-by-need language?

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 in $x + x$

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Such a notion of future heaps applies to a more general notion of memoization with an explicit heap.

Partial Closure-conversion

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To introduce the closure x into the language, we introduce a strict closure binding x:

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This is the same idea as how strict unboxed types are introduced, in Haskell compiler's core.

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Non-strict closures are strict.